



ROMAN ROAD DIBDEN PURLIEU
SOUTHAMPTON SO45 4RQ
TEL: 023 8084 8804
FAX: 023 8084 8715
EMAIL: info@applemore.hants.sch.uk

This document examines potential risks and issues relating to the implementation of controlled assessment for GCSEs and how these might be managed and mitigated through forward planning and remedial actions here at Applemore College.

The process aims to identify the person (or role):

- **Responsible** for the risk/issue
- **Accountable** for the risk/issue
- Who should be **Consulted** about this risk/issue
- Who should be **Informed** should the risk/issue arise.

The risks and issues included are to be reviewed and approved by the senior leadership team regularly to ensure that the processes are fit for purpose and compatible with existing Poltair School policies and procedures.

Example risks and issues	Possible remedial action		Staff
	Forward planning Action	Action	
Timetabling			
Assessment schedule clashes with other activities	Plan/establish priorities well ahead (e.g. start of academic year) for all subjects	Plan dates in consultation with school calendar – negotiate with other parties	SLT, HoDs
Too many assessments close together across subjects	Plan assessments so they are spaced over the duration of the course	Space assessments to at least allow candidates some time between assessments	

Controlled Assessment Risk Management Process

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Accommodation			
Insufficient space in classrooms for candidates	Once group sizes are known at the start of the year, flag instances where regular classroom space may not be suitable to conduct controlled assessment	Use more than one classroom or multiple sittings where necessary	HoDs, Cover Coordinator, Caretaking Staff, EO & SLT
Insufficient facilities for all candidates	Careful planning ahead and booking of rooms / centre facilities		HoDs, Cover Coordinator, IT Technician & SLT
Downloading awarding body set tasks			
IT system unavailable on day of assessment	Download tasks well ahead of scheduled assessment date in all cases	Book IT equipment well ahead and download tasks before scheduled date of assessment	IT Technician, EO
Teaching staff/assessors unable to access task details	Test secure access rights ahead of schedule every year and every session	Ensure teaching staff/assessors have access rights for correct area of awarding body secure extranet sites ahead of time	EO, HoDs
Loss of task details in transmission	Download tasks well ahead of scheduled assessment date	Report loss to awarding body for replacement; download again	EO, IT Technicians
Validity of assessment	Check task validity date	Make sure task is still valid for year of entry of the unit	HoD
Absent candidates			
Candidates absent for all or part of assessment (various reasons)	Plan alternative session(s) for candidates	Arrange Invigilators if necessary to cover extra sessions	HoD, EO

Controlled Assessment Risk Management Process

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Candidates have a scheduling clash for exams or assessment	Always consider candidate timetables well ahead and decide on priorities in advance to scheduling clashes	Check before booking the date; provide an alternative date, where necessary and consult awarding body procedures for dealing with timetabling clashes N.B. retakes of controlled assessment are limited	EO, HoD, SLT
Control levels for task taking			
Assessment is undertaken under incorrect level of control (time, resources, supervision and collaboration)	Ensure teaching staff/assessors know what level is applicable and understand what is involved. Provide training if required	Seek guidance from the awarding body	SLT with HoDs and relevant Teachers
Supervision			
Student study diary/plan not provided or completed. NB – not all controlled assessment will require a diary/plan.	Where applicable, ensure teaching staff/assessors are aware of the need for study diary/plans to be completed early in course.	Where applicable, ensure candidates start, continue and complete study diary/plans that are signed after every session	HoDs
Teaching staff/assessors do not understand supervision of controlled assessment is their responsibility	Ensure teaching staff/assessors understand nature of controlled assessments and their role in supervision		SLT, HoD, teachers
Suitable supervisor has not been arranged for an assessment where teaching staff/assessors are not supervising	A suitable supervisor must be arranged for any controlled assessment where a teacher/assessor is not supervising.		HoD, EO

Controlled Assessment Risk Management Process

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Task setting			
Teaching staff/assessors fail to correctly set tasks	Ensure teaching staff/assessors understand the task setting arrangements as defined in the awarding body specification. All tasks whether set by the awarding body or the centre/consortium must be developed in line with the requirements of the specification.	Seek guidance from the awarding body	SLT, HoD
Assessments have not been moderated as required in the awarding body specification	Check specification and plan required moderation appropriately	Seek guidance from the awarding body	SLT, HoD
Security of materials			
Assessment tasks not kept secure before assessment	Ensure teaching staff understand importance of task security	Request/obtain different assessment tasks	HoDs
Candidates' work not kept secure during or after assessment	Define appropriate level of security, in line with awarding body requirements, for each department as necessary	Take materials to secure storage	HoDs
Insufficient or insecure storage space	Look at provision for suitable storage early in the course	Find alternative spaces	HoDs
Deadlines			
Deadlines not met by candidates	Ensure all candidates are briefed on deadlines/penalties for not meeting them	Mark what candidates have produced by deadline and seek guidance from awarding body on further action.	HoDs, Teachers
Candidate fails to sign authentication form	Ensure all candidates have authentication forms to sign and attach to work when it is completed before handing in	Find candidate and ensure form is signed	HoDs

Controlled Assessment Risk Management Process

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Deadlines for marking and/or paperwork not met by teaching staff/ assessors	Ensure teaching staff/assessors are given clear deadlines (prior to awarding body ones) to complete marking / paperwork so the exams office can process and send off marks ahead of AB deadlines	Seek guidance from awarding body	HoDs, EO
Authentication			
Candidate fails to sign authentication form	Ensure all candidates have authentication forms to sign and attach to work when it is completed before handing in	Find candidate and ensure form is signed	HoDs
Teaching staff/assessors fail to complete authentication forms or leave before completing authentication	Ensure teaching staff/assessors understand importance of authentication forms and the requirement of a signature	Return form to staff for signature. Ensure forms are signed as work is marked, not at end of season	HoDs, Teachers
Marking			
Teaching staff/assessors interpret marking descriptions incorrectly	Ensure appropriate training and practicing of marking. Plan for sampling of marking during the practice phase.	Arrange for remarking. Consult awarding body specification for appropriate procedure	HoDs
Centre does not run standardisation activity as required by the awarding body	Plan against the requirements for standardisation for the awarding body when and how this activity will be conducted.	Check with the awarding body whether a later standardisation event can be arranged.	HoDs, SLT